

RECEIVED  
CENTRAL FAX CENTER

DEC 27 2006

(WED) 12. 27 '06 10:13/ST. 10:12/NO. 4862865795 P 1

## FAX TRANSMISSION

DATE: DECEMBER 27, 2006

PTO IDENTIFIER: Application Number 10/803,950-Conf. #7168  
Patent Number

Inventor: Rothman et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (571) 273-8300

FROM: VENABLE LLP  
Caroline J. Swindell

PHONE: (703) 760-1676

Attorney Dkt. #: 42339-199895

PAGES (Including Cover Sheet): 19

## CONTENTS:

Fee Transmittal Form SB/17 (1 page)  
Amendment Transmittal (1 page)  
Amendment in Response to Non-Final Office Action (14 pages)  
Yellow filing receipt (1 page)  
Certificate of Transmission (1 page)  
FAX transmittal cover sheet (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (703) 760-1676 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

VENABLE LLP  
P.O. Box 34385, Washington, DC 20043-9998  
Telephone: (202) 344-4000 Facsimile: (202) 344-8300

814794

PTO/SB/97 (09-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Application No. (if known): 10/803,950  
Attorney Docket No.: 42339-199895

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on 12/27/2006  
Date

C. Allen

Signature

C. Allen

Typed or printed name of person signing Certificate

Registration Number, if applicable

(703) 760-1611  
Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Fee Transmittal Form SB/17 (1 page)  
Amendment Transmittal (1 page)  
Amendment in Response to Non-Final Office Action (14 pages)  
Yellow filing receipt (1 page)  
Certificate of Transmission (1 page)  
FAX transmittal cover sheet (1 page)

814794

PATENT PROSECUTION RECEIPT OF FILING  
RECEIVED

CENTRAL FAX CENTER

DEC 27 2006

137073

Attorney/LAA: CJS:cja  
 PTO Due Date: December 27, 2006  
 Current Date: 12/27/06  
 Filing Date: March 19, 2004  
 Issue Date:

Venable Filing Number  
 Atty. Docket No: 42339-199895

Title of Application: ISOLATION AND PROTECTION OF FIRMWARE-ONLY DISK AREAS

Application No: 10/803,950

Patent No.:

The following items were received from Venable LLP, Washington, D.C.,  
 by the U.S. Patent & Trademark Office on the date stamped hereon:

## U.S. PTO FEES ENCLOSED

Filing Fee  
 Surcharge Fee  
 Additional Claim Fee  
 Recordation/Indexing Fee  
 IDS Fee  
 Extension Fee  
 Notice of Appeal Fee  
 Brief on Appeal  
 Oral Hearing Request Fee  
 Petition Fee  
 Issue Fee  
 Publication Fee  
 Maintenance Fee  
 Other Fees (Describe)  
 0.00 Total Fees Paid

☐ Check Number Attached

☐ Charge Deposit Account No.

\*If Deposit Account was used, was a  
 copy of this form sent to Accounting?

☐ Yes ☐ No

Date 12/27/06

- ☒ Amendment Transmittal (1 page)  
☒ Fee Transmittal Form SB/17 (1 page)  
 New U.S. Patent Application  
 ( pages of specification/claims)  
 Rule 53(d) Continued Prosecution Application  
 Rule 53(b) Continuation or Divisional Application  
 (attach copy of specification, claims, drawings and declaration)  
 U.S. National Stage Application of PCT Application  
 Request for Continued Examination (RCE) under 37 CFR 1.114  
 Application Data Sheet  
 Substitute Specification  
 Priority Document-Cert. Copy of  
 Appln.#; Country; Date Filed:  
 Formal Drawings ( sheets, Figs.)  
 Inventor Declaration  
 Assignment w/Cover Sheet  
 Response to Notice to File Missing Parts  
 Response to Notice to File Missing Requirements  
 Response to Requirement  
 Information Disclosure Statement with cited references  
 Response  
☒ x Amendment Preliminary Amendment  
 Petition/Request for Extension of Time ( mo. ext.)  
 Power of Attorney  
 Petition to Revive  
 Sequence Listing - CDR Enclosed? Yes No  
 Request for Non-Publication  
 Reply Brief (in triplicate) / Request for Oral Hearing  
 Confirmation of Hearing Petition  
 Issue Fee Transmittal  
 Certificate of Correction  
 Maintenance Fee Transmittal  
 Status Inquiry  
☒ x Other: (Please describe below)  
☒ X Yellow Filing Receipt (1 page)  
☒ X FAX transmittal cover sheet (1 page)  
☒ x Certificate of Transmission (1 page)

Reviewed By:

Signature of Attorney

814798

<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. 42339-199895	
Application No. 10/803,950-Conf. #7168	Filing Date March 19, 2004	Examiner S. C. Elmore	Art Unit 2185		
Applicant(s): Rothman et al.					
Invention: <b>ISOLATION AND PROTECTION OF FIRMWARE-ONLY DISK AREAS</b>					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	26	- 26 =	0	x 50.00	0.00
Independent Claims	3	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					0.00
<input checked="" type="checkbox"/> Large Entity <span style="float: right;"><input type="checkbox"/> Small Entity</span>					
<input checked="" type="checkbox"/> No additional fee is required for this amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of \$ _____ A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>22-0261</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Caroline J. Swindell Attorney/Agent Reg. No.: 56,784					Dated: <u>December 27, 2006</u>
VENABLE LLP P.O. Box 34385 Washington, DC 20043-9998 (703) 760-1676					
814784					
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.					
Dated: December <u>27</u> 2006					Signature: <u>C. Allen</u> (Caroline J. Swindell)

RECEIVED  
CENTRAL FAX CENTER

DEC 27 2006

(WED) 12. 27 '06 10:14/ST. 10:12/NO. 4862865795 P 5

PTO/SB/17 (07-08)  
Approved for use through 01/31/2007. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4318).

## FEE TRANSMITTAL

### For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

Complete if Known	
Application Number	10/803,950-Conf. #7168
Filing Date	March 19, 2004
First Named Inventor	Michael A. Rothman
Examiner Name	S. C. Elmore
Art Unit	2185
Attorney Docket No.	42339-199895

**TOTAL AMOUNT OF PAYMENT** (\$) 0.00

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☐ Deposit Account Deposit Account Number 22-0261 Deposit Account Name: Venable LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee

☒ Credit any overpayments

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
26	6	50.00	0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
3	0	200.00	0.00

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(c)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(e).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY		Registration No.	Telephone
Signature	<i>Caroline J. Swindell</i>	56,784	(703) 760-1676
Name (Print/Type)	Caroline J. Swindell		Date December 27, 2006

814785

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, on the date shown below.

Dated: December 27, 2006 Signature: *C. Allen* (Caroline J. Swindell)